# INSTITUTIONAL REGULATION FOR THE ORGANISATION AND DEVELOPMENT OF DOCTORAL STUDY PROGRAMMES

#### **Chapter I. INTRODUCTION**

#### I.1. Institutional and legal framework

**Art.1** According to the provisions of the Law of National Education no. 1/2011, subsequently modified and integrated, according to the art. 12-14 from Law no. 288/2004 regarding the organization of academic studies, subsequently modified and integrated, according to the provisions of the Government Resolution no. 681/2011 regarding the approval of the Code of academic doctoral studies, subsequently modified and integrated, of the Order 5229 of 17<sup>th</sup> of August 2020, regarding the approval of the methodologies regarding the granting of the authorisation certificate, granting of PhD title, and also regarding the settlement of complaints related to the incompliance with the quality and professional ethics standards, and also regarding the plagiarism existence in the doctoral project, the National University of Political Studies and Public Administration (S.N.S.P.A.) organises academic doctoral studies, in the fundamental field of social and political sciences, the doctoral fields: political sciences, administrative sciences, sociology, communication and management sciences.

**Art.2** This regulation states the organization method, development and completion of the cycle of academic doctoral studies within S.N.S.P.A., as the institution organizing the academic doctoral studies (IOSUD).

**Art.3** The capacity of IOSUD in the doctoral fields mentioned at the art. 1 is validated by the National Council of Academic Degrees, Diplomas and Certificates Accreditation (C.N.A.T.D.C.U.), and it is certified through the Order of the Minister of Education and Research no. 4212/2000 for the field of political sciences, through the Order of the Minister of Education and Research no. 4816/2001 for the field of administrative sciences, and through the Order of the Minister of Education, Research and Innovation no. 3304/2008 for the fields of sociology and communication sciences, and through the Order of the Minister of National Education 3100/2019 for the management field.

#### I.2. Fundamental principles

- **Art.4** (1) S.N.S.P.A., as IOSUD, ensures the equal access of the candidates at the academic doctoral studies. In order to do this, the institution establishes procedures, criteria and access requirements of the candidates at the academic doctoral studies, taking into consideration:
- a) studies previously graduated by the candidates that cannot be beneath the level of the master studies or their equivalent;
- b) significant work experiences obtained through the activities previously carried out by the candidates;
- c) linguistic skills in international languages.
- (2) The access criteria, including the assessment criteria, are established within the doctoral school in a detailed manner, and before the organisation of the access examination. They will be made public through as varied and accessible means as possible, including on the Internet, at the address www.doctorat.snspa.ro.

- (3) It is forbidden to promote, through the method in which the access criteria, including the candidates' assessment criteria are established, any form of direct or indirect discrimination among the candidates at the academic doctoral studies.
- **Art.5** (1) S.N.S.P.A., as IOSUD, through the Council for Academic Doctoral Studies (CSUD), offers correct and full information regarding the doctoral study programmes of the candidates to those programmes, and also of academic community.
- (2) The compliance with the obligation of correct information has the following purpose:
  - a) creation of the requirements necessary for the assurance of a loyal competition among the candidates for the access to doctoral study programmes;
  - b) promotion of a loyal competition between the doctoral study programmes and, if more doctoral universities are set up, between them also;
  - c) facilitating the appliance of the procedures for the institutional and collegial assessment of the quality of doctoral study programmes;
  - d) fighting against academic frauds, including the plagiarism, through the implementation of their identification, prevention and penalty techniques.
- **Art.6** (1) S.N.S.P.A., as IOSUD, guarantees the transparency of the organisation and development of doctoral study programmes.
- (2) S.N.S.P.A. publishes on the Internet all the relevant information regarding the doctoral study programmes, and also by other information means, as leaflets, positions, etc.
- (3) The compliance with this obligation aims mostly at the following categories of information:
  - a) information regarding the organisation and development methods of doctoral programmes, as for example: this Regulation that includes, among others, the skills obtained by attending the cycle of academic doctoral studies, through the access criteria and procedures, through the procedures of public presentation of PhD thesis;
  - b) information regarding the content of academic doctoral studies: information regarding the registration of doctoral qualifications in the National Registry of Qualification from the Higher Education (RNCIS), curriculum, timetable, assessment methods;
  - c) information regarding the financing of studies and costs of academic doctoral studies paid by the PhD student;
  - d) information regarding the research projects in which the PhD students take part;
  - e) information regarding other research projects developed within S.N.S.P.A.;
  - f) information regarding the results of the research activity (publications, participations in conferences, etc.);
  - g) developed within S.N.S.P.A.;
  - h) information regarding the results and the professional performances of the doctoral study leaders:
  - i) information regarding the PhD thesis, respectively the elaboration standards, their assessment procedures and criteria;
  - j) publication of PhD thesis summaries, being about to be publicly presented, in Romanian, and in an international language, preferably in English;
  - k) information regarding the recognition and the legal protection of intellectual ownerships on the PhD thesis of the author and of PhD supervisor.
- (4) The PhD thesis, publicly presented, will be available at the S.N.S.P.A. Library, and at the Romanian National Library, according to the legal provisions.

- **Art.7** The organization and development of academic doctoral studies are based on the appliance of some criteria, standards and procedures having the following purpose:
  - a) correct and objective assessment of PhD students' performances;
  - b) removal of all the discrimination forms and assurance of a fair access to academic doctoral studies;
  - c) continuous improvement of procedures for the PhD students' individual supervision, and of the creation and appliance of the doctoral study programmes curriculum;
  - d) facilitation of the assessment of doctoral study programmes by using a shared database of criteria and standards;
  - e) assuring the right of S.N.S.P.A. and of the doctoral school, to supplement the criteria and standards set in order to increase and to develop the quality of doctoral study programmes, and to improve the assertion of their international competitiveness.

**Art.8** The procedure for the development of doctoral study programmes is based on the following principles:

- a) qualitative professional training of PhD students;
- b) equal opportunities and non-discrimination principle;
- c) academic autonomy exercised responsibly;
- d) assuring the quality and the coherence of doctoral study programmes at national and European level;
- e) transparency regarding the administrative, financial and organization information of doctoral study programmes.
- **Art.9** The doctoral study programmes are organised with state budget financing, with self-financing or based on other legally constituted sources. The private funds are obtained from contributions of private agents, respectively from donations or agreements signed with private economic agents or foundations.
- **Art.10** (1) For self-financing PhD students, the Senate of S.N.S.P.A. establishes, at the proposal of CSUD, an annual tuition fee, notified together with the notification of each doctoral access contest. The annual tuition fee cannot be modified during the academic doctoral studies.
- (2) The tuition fee for the doctoral study programme *will take into consideration* the tuition costs, the costs for the development of research activities, and for the exploiting of research results included in the grant given to a PhD student financed by the state budget.
- **I.3**. General dispositions regarding the development of academic doctoral studies.
- **Art.11** The academic doctoral studies, hereinafter briefly referred to as the doctorate, represent the third cycle of academic studies offered in S.N.S.P.A., they are organised by the Doctoral school, and they have as purpose the development of the knowledge through the original scientific research.
- **Art.12** (1) The academic doctoral studies are carried out within IOSUD S.N.S.P.A. through the multidisciplinary Doctoral school organized on the five doctoral fields: political sciences, administrative sciences, sociology, communication sciences and management.

- (2) IOSUD is coordinated by the Council for Academic doctoral studies and, through doctoral study programmes, it aims at the formation of PhD student in order for them to achieve competences for scientific research, and skills for the appliance and economic and social exploiting of research results.
- (3) The Senate of S.N.S.P.A. can also discuss other proposals for the set-up of one/more doctoral universities or of any doctoral field within S.N.S.P.A., in compliance with the legal provisions in force, and with the provisions of this institutional regulation.
- **Art.13** S.N.S.P.A., as IOSUD, cooperates, in the field of academic doctoral studies, with the institutions organizing academic doctoral studies, universities, institutes and research centres, in the country and abroad, so as it shall be promoted the occupational mobility of PhD students.
- **Art.14** (1) According to the principles on which the European Qualifications Framework, and the National Qualifications Framework from the Higher education in Romania are based, the doctoral study programmes within S.N.S.P.A. follow the formation and development of two types of skills, focused on the research-based education:
  - a) skills of content, achievable within the programmes supported by the doctoral school;
  - b) transversal or transferrable skills, achievable within the doctoral study programmes.
- (2) For the purposes of this Regulation, the following are qualified as skills of content:
  - a) development of identification scientific thinking, wording and settlement of research issues;
  - b) assimilation of advanced research methods and techniques;
  - c) achievement of knowledge regarding the research projects management;
  - d) assimilation of new proceedings and solutions in the research;
  - e) development of skills for the documentation, elaboration and valorisation of scientific works;
  - f) appropriation of linguistic skills at academic level, by using international languages necessary for the documentation and elaboration of scientific works;
  - g) assimilation and application of professional ethics principles and values.
- (3) For the purposes of this Regulation, the following are considered transversal skills:
  - a) skills of advanced expression, written or oral, in the science and culture field;
  - b) advanced communication and linguistic skills;
  - c) interpersonal and team work skills;
  - d) skills of material, financial and human resources management;
  - e) leadership skills;
  - f) knowledge regarding the time and career management, including the appropriation of techniques regarding the job research, and the creation of jobs for other people;
  - g) achievement of knowledge regarding the risk, crisis, and failure management;
  - h) achievement of knowledge and skills in the field of equal opportunities, nondiscrimination, compliance with the diversity, sustainable development, and environmental protection;
  - i) assimilation of knowledge regarding the use of legislation in the field of intellectual ownership;
  - i) economic and social entrepreneurship skills.

- (4) The curriculum programmes through which the skills mentioned at the par. (2) and par. (3) of this article are achieved, are expressed in ECTS transferable study credits, having the property to quantify the work volume, the individual learning, and to insure the intra and interinstitutional transferability.
- **Art.15** (1) The academic doctoral studies within S.N.S.P.A. are scientific, and they are based on the creation and advanced scientific research activities, contributing at the development of knowledge, competences and cognitive skills.
- (2) The scientific doctoral study has the purpose to produce the original scientific knowledge, nationally and internationally relevant, based on some scientific methods. It is a requirement for teaching career in higher education or in research.
- (3) Upon the completion of scientific academic doctoral studies, it is awarded the diploma and title of doctor in sciences, with the corresponding acronym of PhD. In the diploma certifying the achievement and possession of such a PhD title, it is expressly mentioned the disciplinary and interdisciplinary field of the doctoral study.
- (4) The attendance and the successful completion of the academic doctoral studies within S.N.S.P.A. in the fields of political sciences, administrative sciences, communication sciences, sociology and management, grants to their PhD graduates the capacity:
  - a) to understand in a systematic and comprehensive manner, the problems specific for the fields of political and administrative sciences, of sociology, communication and management sciences, and also specific for the associated research methods and methodologies;
  - b) to design and implement a research project, and to perform the research management in compliance with the professional deontology;
  - c) for critical analysis, assessment and reassessment, synthesis and implementation of some strategies regarding ideas, phenomena, and new and complex social processes.
- **Art. 16.** (1) The academic doctoral studies have a duration of 3 academic years, and include the following two components, which are developed under the supervision of a PhD supervisor from the S.N.S.P.A., or of a PhD supervisor from the S.N.S.P.A., or of a PhD supervisor from another institution that organizes academic doctoral studies with which the S.N.S.P.A. signed a joint doctorate agreement, and also with the support of a PhD commission, formed of other 3 members who can be part of the research team of the PhD supervisor, of other people affiliated to the doctoral school, or of teaching and research staff not affiliated to the same:
  - a) the advanced academic training programme of the PhD student;
  - b) the scientific research programme of the PhD Student.
- (2) The academic doctoral studies are completed upon the public presentation of a work, called PhD thesis, which the result of the research activity carried out by the PhD student during the academic doctoral studies.
- **Art.17** (1) The opportunity to organise an advanced academic training programme, and also its structure and content will be established separately for each one of the five fields of the multidisciplinary doctoral school of S.N.S.P.A.

- (2) The advanced academic training programme assures the PhD student's training through the teaching-scientific activities, and it is usually performed during the first year of the academic doctoral studies. The programme has a cumulated duration that cannot exceed 3 months.
- (3) The scientific research programme of the PhD student supposes the participation of the PhD student to one or more scientific projects established by the PhD supervisor; the latter has the responsibility on the structure, content, development and organisation of the scientific research programme of the PhD student, and it is directly responsible for the scientific progress of the PhD student, being obliged to take all the necessary measures in order to ensure for the same all the conditions, knowledge and information that should maximise the chances to complete the doctoral study programme.
- (4) In special situations, when the approached subject requires a longer study or experiment period, the duration of the academic doctoral studies can be extended with 1-2 years, at the proposal of the PhD supervisor and with the approval of the academic Senate, within the limit of the available funds, or with a fee. For those purposes, the PhD student must file a request, signed by the PhD supervisor and approved by the principle of the Doctoral school, before the initiation of the academic year in which the extension starts.
- **Art.18** (1) The academic doctoral studies can be interrupted in the following situations: due to medical reasons, for the parental leave for a child up to 2 years old, or for other grounded reasons.
- (2) The total duration of the interruptions cannot exceed 3 years. The studies duration is extended with the cumulated period of approved interruptions.
- (3) The interruptions are approved by the Manager of the Council for Academic doctoral studies upon the PhD student's requests, with the approval of the PhD supervisor.
- (4) The extension of doctoral study programme, respectively the its interruption and extension stipulated at the par. (2) are established by addenda at the academic doctoral studies agreement.
- (5) The presentation of the PhD thesis is made not later than 4 years from the completion of the academic doctoral studies, with the consent of the academic senate and of the PhD supervisor, and exceeding this deadline leads to the expulsion of the PhD student.
- (6) During the studies interruption, and also after the completion of the three years of budget-financed studies, the PhD student cannot benefit from the doctoral scholarship awarded by the doctoral grants. During such period, the PhD student can pay a tuition fee in the amount established by the Senate of S.N.S.P.A..
- **Art.19** (1) The form of education of academic doctoral studies within S.N.S.P.A. is full time (day classes).
- (2) By exemption from the provisions of par. (1), the doctoral study programmes can be organised also at the part time form of education.
- (3) For the doctoral study programmes, the obligations regarding the full time and the part time, are established by the leadership of S.N.S.P.A., at the proposal of the Council for Academic doctoral studies.

- **Art.20** (1) The academic doctoral studies are performed in Romanian, in the language of national minorities, or in another international language, according to the doctoral study agreement, signed between IOSUD, the PhD supervisor and the PhD student.
- (2) The studies regime of foreign citizens attended the academic doctoral studies at S.N.S.P.A. is the same with the regime of Romanian citizens. S.N.S.P.A. can assure, upon the foreign PhD student's request, a preparatory course in Romanian, according to the enforceable regulations.
- (3) For those public activities within the doctoral study which are not carried out in Romanian, it shall be indicated together with their announcement, also the language in which they will be performed. The PhD student will assure the translation in Romanian of a summary of presented materials on the occasion of those activities, if there is a request in this sense, made in writing to the PhD supervisor, at least three business days before the performance of that activity.
- **Art.21** (1) S.N.S.P.A. promotes the interdisciplinarity in academic doctoral studies. This thing is carried out both through the collaboration in/between departments, faculties within S.N.S.P.A., and also between them and those from other universities or institutes or research centres in the country and abroad.
- (2) The supervision of the PhD student can be carried out by two PhD supervisors, especially in the case of interdisciplinary scientific research subjects.
- (3) The choice of the second PhD supervisor is made after the PhD student's registration at S.N.S.P.A., with the consent of the first PhD supervisor. The second PhD supervisor can be from S.N.S.P.A., or from any other institution organizing academic doctoral studies in the country or abroad.
- (4) If both the PhD supervisors activates in S.N.S.P.A., there between them shall be signed a joint doctorate consent, which shall be approved by the Rector of S.N.S.P.A., and through which the responsibilities of each PhD supervisor are established.
- (5) If the second PhD supervisor does not activate in S.N.S.P.A., then there shall be signed, in writing, a joint doctorate agreement between S.N.S.P.A., and the institution where the second PhD supervisor advises the PhD students. This agreement stipulates the requirements for the organization and development of academic doctoral studies in each of the two involved institutions, including their financial obligations, in compliance with the role they have in the joint doctorate, and with the specific legislation. S.N.S.P.A., that initially registered the PhD student has the main role, and the other institution has the joint doctorate role.

## Chapter II. ORGANIZATION OF ACADEMIC DOCTORAL STUDIES

- **Art.22** (1) The Council for Academic Doctoral Studies (CSUD) functions separately, within S.N.S.P.A., based on the provisions of the Code of Academic Doctoral Studies, and of this Regulation. It develops its activities under the direct subordination of the Rector of S.N.S.P.A.
- (2) The Council for Academic Doctoral Studies is assisted in its activity by a separate and specific administrative body.

- **Art.23** (1) The Council for Academic Doctoral Studies has a minim number of 7 and a maximum number of 17 members. At least one member of CSUD, within the multidisciplinary doctoral school, is chosen through universal, direct, secret and equal vote of the PhD supervisors from the doctoral universities from IOSUD.
- (2) The representative/representatives of each doctoral study programme comply/complies with the minimal and mandatory standards for the awarding of the accreditation certificate in force at the date of their signing as members of the CSUD, approved through the order of the minister of education, research, youth and sports.
- (3) The methodology for the appointment of the representatives of each doctoral field must comply with the provisions of the Code of academic doctoral studies, it is proposed by the faculties leaders responsible for each one of the five doctoral fields and approved by the leadership body of each faculty.
- (4) From the CSUD, also take part 2 PhD students, chosen by universal, direct, secret and equal vote of PhD students within the doctoral school of S.N.S.P.A.
- (5) From the CSUD can also take part other academic teaching staff or researchers from the country or abroad, who have the right to supervise doctoral studies, in the country or abroad, and who comply with the minimal and mandatory standards for the awarding of the accreditation certificate in force at the date of their signing as members of the CSUD, approved through the order of the minister of education, research, youth and sports, and also scientific personalities, or personalities from the relevant industrial and socio-economic sectors from the country or abroad.
- (6) The people proposed to be members of CSUD, others than the PhD supervisors nominated to represent each doctoral field, are chosen by universal, direct, secret or equal vote of the PhD supervisors within the doctoral school of S.N.S.P.A.
- (7) The number of members of CSUD must be odd. The nominal componence of CSUD is approved by the senate at the proposal of IOSUD.
- (8) The member of a CSUD member is of 4 years, except from the PhD students chosen by vote, having a mandate of maximum 3 years or until the completion of the academic doctoral studies, together with the public presentation of the thesis.
- **Art. 24** The operational leadership of CSUD is exercised through the Manager of the Council for Academic Doctoral Studies, of which position is assimilated to the pro-rector position.
- **Art. 25** (1) The Manager of the Council for Academic Doctoral Studies is nominated by the Rector of S.N.S.P.A., through the decision issued according to the provisions of the Carta of S.N.S.P.A., upon the organisation of a public contest.
- (2) The contest to fill the position of CSUD Manager is carried out after the election of the Council for Academic Doctoral Studies, based on the methodology approved by the Senate of S.N.S.P.A.
- (3) At the contest, can be part only the people having the right to supervise doctoral studies, and who comply with the minimal and mandatory standards for the awarding of the accreditation certificate in

force at the date of their publication in the Official Journal of Romania, Part III, of the notification regarding the job opening.

- (4) The candidates registered at the contest will deposit in the application file, a strategic and operational plan for the Council for Academic Doctoral Studies for the period of the mandate which the candidate applies for, and they will present it in front of the commission.
- (5) The Commission nominated for the assessment of the applications at the contest for the job of Manager of the Council for Academic Doctoral Studies, is composed of 5 members: the Rector of S.N.S.P.A., the Pro-rector with the scientific research and 3 members: PhD supervisors from another institution of higher education in Romania or European Union, in compliance with the provisions of the Code of Academic Doctoral Studies. The Commission is proposed by the Rector of S.N.S.P.A., and it is subject to the approval of the Senate of S.N.S.P.A.
- (6) The members of the contest commission must have the right to supervise doctoral studies, in the country or abroad, and to comply with the minimal and mandatory standards for the awarding of the accreditation certificate in force at the date of their publication in the Official Journal of Romania, Part III, of the notification regarding the job opening
- (7) At least one member of the contest commission has a labour agreement, at the date of publication in the Official Journal of Romania, Part III, of the notification regarding the job opening, with an institution of higher education and research abroad, institution being included on the list approved through the order of the minister of education, research, youth and sports.
- (8) Based on the nomination decision, the Rector of S.N.S.P.A. signs with the person nominated for the position of Manager of the Council for Academic Doctoral Studies, a management agreement, for a duration of 4 years.
- (9) The Manager of the Council for Academic Doctoral Studies is subordinated to the Rector of S.N.S.P.A., and it is remunerated for the carried-out activity with a leadership compensation of which amount is established by the Board of Directors of S.N.S.P.A.
- (10) The rights and the obligations of the CSUD Manager, the assessment criteria of its activity, and also the methods in which the agreement is modified or terminated before the expiry of the duration for which it's been signed, are stipulated in the management agreement.
- **Art.26** (1) The Council for Academic Doctoral Studies meets monthly or whenever necessary, upon the request of the CSUD Manager, or of third party from the number of the members of the Council.
- (2) The meetings of the Council for Academic Doctoral Studies are headed by the Manager of the Council for Academic Doctoral Studies. Their meeting agenda is established by the CSUD Manager, based on the proposals formulated by the members of the Council or by the Rector of S.N.S.P.A.
- (3) The rector of S.N.S.P.A. is invited to all the meetings of the Council for Academic Doctoral Studies.
- (4) The decisions of the Council are adopted with the majority of votes of the present participants.
- **Art.27** The duties of the Council for Academic Doctoral Studies are:
  - a) it establishes the IOSUD strategy;

- b) it elaborates the institutional regulation for the organization and development of doctoral study programmes at the IOSUD level, and presents it for the approval of the Senate of S.N.S.P.A.;
- c) it elaborates the criteria for the set-up of new doctoral study fields within IOSUD S.N.S.P.A. according to the practices of the European Higher Education Area, and presents them for the approval of the Senate;
- d) it proposes for the approval of the Senate, the decision regarding the set-up of new doctoral study fields;
- e) it encloses the proposals from the PhD supervisors, part of a newly set up doctoral field, and it presents them for the approval of the Senate;
- f) it elaborates other regulating proposals, or measures regarding the academic doctoral studies in S.N.S.P.A., according to the objectives of S.N.S.P.A., and according to the legal framework, in order to consolidate and increase the competitivity of academic doctoral studies, and it presents them for the approval of the Senate;
- g) it elaborates the minimal criteria regarding the organization and the functioning of doctoral study programmes of the doctoral school, including the ones regarding the access to academic doctoral studies, curriculum, PhD students' assessment, the criteria for the selection of the assessment commissions of the PhD thesis, and it presents them for the approval of the Senate;
- h) it establishes the minimal criteria for the completion, assessment and valorisation of the results of doctoral study programmes, including of the PhD thesis, and it presents them for the approval of the Senate;
- i) it proposes the maximum number of PhD student coordinated by a PhD supervisor, according to the legal provisions, and it presents them for the approval of the Senate;
- j) it proposes the distribution on doctoral fields, of the places received from the resort ministry for academic doctoral studies, and it presents it for the approval of the Senate;
- k) it proposes the distribution of the positions for the academic doctoral studies for each PhD supervisors, branches, forms of organization, and financing forms, performed by the access commissions set-up for each doctoral field, and it presents it for the approval of the Senate;
- it puts forward proposals, including by way of supporting some normative initiatives, that should support the employment on the occupational market of the graduates of doctoral study programmes, and it presents them for the approval of the Senate;
- m) it elaborates methodologies for the highlight, in time, of the professional progress of graduates of academic doctoral studies, and it presents them for the approval of the Senate;
- n) it puts forward own proposals, and encloses the proposals of the members of doctoral school aiming to establish some agreements and partnerships/consortia for the performance of doctoral study programmes, and of joint doctorates, and it presents them for the approval of the Senate
- o) it can initiate, file or follow the documentation necessary for the obtaining of European funds for doctoral study programmes, and for research programmes;
- p) it analysis and solves the complaints presented to the Council for the Academic Doctoral Studies:
- q) draws up proposals for the allocation of the resources designed for the financing of doctoral study programmes, and it presents them for the approval of the Senate;
- r) it carried out activities for the promotion of the image of academic doctoral studies in N.S.P.S.P.A. at national and international level.

**Art.28** The administrative activities related to the performance of the doctoral studies in S.N.S.P.A., and to the actions of the Council for Academic Doctoral Studies are carried out by the Office of

Doctoral Studies in S.N.S.P.A. The members of the Office of Doctoral Studies are the employees, for a limited or unlimited period, of S.N.S.P.A., and they are subordinated to the CSUD Manager.

## **Chapter III. PARTNERSHIPS AND CONSORTIA**

**Art.29** IOSUD – S.N.S.P.A. implements, through the Council for Academic Doctoral Studies, cooperation instruments, as for example:

- a) International partnership agreements, through which the experts in the field shall participate within the doctoral school, to the presentation of the classes, to the performance of mentored research activities, to conferences and debates, etc.;
- b) Doctoral researches supervised in joint doctorate;
- c) Exchanges of PhD students and professors with the top universities in the field;
- d) Participation to international consortia, where the doctoral research subjects are part of some wider international projects.

#### Chapter IV. ADMITEREA LA DOCTORAT

- **Art.30** (1) The access to academic doctoral studies is made by contest organized annually by S.N.S.P.A., usually before the initiation of the academic year.
- (2) The citizens of the states members of the European Union, of the states from the European Economic Area, or of the Swiss Confederation, have access to academic doctoral studies in the same conditions as the ones stipulated for Romanian citizens in the normative acts, including as far as it concerns the fees (the fee for the access contest, the tuition fee, the fee for the presentation of the PhD thesis).
- **Art.31** There have the right to participate to the procedure for the access to academic doctoral studies only the graduates with a master diploma or its equivalent diploma, from the country or abroad, according to the art. 153 par. (2) from the Law no. 1/2011.
- **Art.32** (1) The registration at the contest for the access to academic doctoral studies can be made notwithstanding the field in which the candidate obtained the bachelor's degree diploma, and the master diploma.
- (2) The candidate's file at the contest for the access to doctoral studies includes the following documents:
- Request for the registration at the contest;
- Registration file, filled in with capital letters, and that shall include all the requested data;
- Curriculum vitae and the list of published works (including the specialty works publicly presented), and excerpts from the publications relevant for the doctoral field;
- Certified true copy of the birth certificate;
- Certified true copy (eventually translated and authenticated) of the baccalaureate diploma or its equivalent;
- Certified true copy (eventually translated and authenticated) of the bachelor's degree diploma or its equivalent, with the proper appendices;
- Certified true copies (eventually translated and authenticated) of the post-academic studies diploma (advanced studies, master, doctoral studies) or their equivalent;

- Marriage certificate (certified true copy or any other document for the surname change, if applicable);
- Receipt regarding the payment of the registration fee;
- Certified true copy of the identity card or passport, as applicable;
- Medical certificate issued by the family physician;
- Statement for the processing and publication by S.N.S.P.A of personal data;
- Certificate for the studies recognition (for foreign citizens).
- (3) The candidates who attended the studies abroad, will present the authenticated translation of the achieved diploma, and also its equivalence within the National Council of Academic Degrees, Diplomas and Certificates Accreditation from the resort ministry.
- **Art.33** (1) The procedure for the access to academic doctoral studies is the candidates' selection process for each open position of PhD student, which the PhD supervisors from the doctoral school decide to propose for the filling at some point.
- (2) The candidate's selection at the doctoral study for an open position is made by the PhD supervisor who supervises that position.
- (3) The contest for the access to doctoral study usually consists of an interview during which there are analysed the candidate's academic and professional progress, the candidate's scientific concerns, the candidate's research skills, the list of works published and presented at the conferences and the subject/project proposed by the same for the PhD thesis that shall be included in the subjects proposed by the project manager/PhD supervisor. The content and the form of the access contest are established by the PhD supervisor in consultation with CSUD.
- (4) Upon the assessment, the access commission grants a mark between 1 and 10 for each examination subject (if there are more subjects), the average of those mark representing the mark achieved by the candidate at the access contest.
- (5) There are declared accepted, in the descending order of the averages achieved, and within the limit of the open positions, those candidates who obtained at least the average 7, within the limit of the schooling capacity of the field.
- (6) The contest for the access to the doctoral studies is valid only for the fundamental field, and for the doctoral field for which the candidate applied for. It is simultaneously organized for budget-financed positions, and for positions with tuition fee, the status of accepted candidates being established based on the option expressed at the registration date, and based on the results of the access contest.
- **Art.34** The commissions for the access to doctoral studies are composed of the PhD supervisors of each separated field.
- **Art.35** The Council for Academic Studies assures the correct information of public opinion regarding the access requirements and criteria.
- Art.36 The transparency of criteria, standards and procedures for the selection and access to doctoral studies, and also the awarding of the access to this information will be carried out by the doctoral

school through online facilities, through the communication with the Office of Doctoral Studies, with the PhD supervisors and researchers, by e-mail, website of the Doctoral School, www.doctorat.snspa.ro etc.

#### Chapter V. REGISTRATION, WITHDRAWAL AND EXPULSION

**Art.37** The validation of the contest for the access and registration of the candidates declared accepted upon the contest, is made through the decision of the Rector of S.N.S.P.A., after the payment by the candidates declared accepted of the registration fee.

**Art.38** (1) The capacity of PhD student terminates when the title of doctor is awarded, or at the issue of the expulsion decision.

- (2) The expulsion of the PhD student is made in the following situations:
  - a) If the PhD student does not manage to complete the PhD thesis within the deadline established according to the agreement of academic doctoral studies, and eventual addenda to the same;
  - b) If the PhD student withdraws himself from the studies, based on a request transmitted to the rector of S.N.S.P.A., with the approval of the PhD supervisor and of the Manager of the Council for Academic Doctoral Studies;
  - c) If the PhD student violated the norms of moral and professional conduct stipulated in the Code of ethics of S.N.S.P.A.;
  - d) Based on the written request of the PhD supervisor or of the members of the supervision commission, approved by CSUD, if the PhD supervisor ascertained the fact that the PhD student does not comply with the obligations resulting from the agreement of academic doctoral studies, or with the qualitative requirements established in this Regulation;
  - e) If, even at the second presentation of the PhD thesis within the supervision commission, the PhD student obtains the "Unsatisfactory" qualifier;
  - f) If, even at the second presentation of the PhD thesis within the commission for doctoral studies, the PhD student obtains the "Unsatisfactory" qualifier;
  - g) If C.N.A.T.D.C.U. invalidates twice the PhD work.
- (3) The expulsion of a PhD student is made through the disposition of the Rector of S.N.S.P.A., usually on 30<sup>th</sup> of September of the current academic year.
- **Art.39** (1) Upon the violation of the agreement of doctoral studies, at the proposal of the PhD supervisor, with the enclosure of the Manager of the Council for Academic Doctoral Studies, and with the approval of the Rector of S.N.S.P.A., the PhD students are expelled from the doctoral study programme for reasons as: lack of presentation on time of scientific research reports, repeated lack of graduation of exams for the public presentation of the scientific research reports, not obtaining the transferrable credits necessary for the graduation of doctoral studies, etc.
- (2) The expelled PhD students, including those expelled from reasons for the withdrawal request from the doctoral studies, who benefited from a state budget-financed post, will be able to sustain a new access examination, and they will be registered at doctoral academic studies only on positions with tuition fee.
- (3) The expelled scholar PhD students will repay the total amount of the doctoral scholarships received up to the expulsion moment within 15 days from the date when the expulsion disposition is notified.

- **Art.40** (1) An expelled PhD student from the academic doctoral studies (no matter whether the expulsion was made upon the PhD student's request) can be registered only once again to a contest for the access to a doctoral study organised by S.N.S.P.A. but only for positions with tuition fee.
- (2) The Manager of the Council for Academic Doctoral Studies can approve, with the enclosure of the PhD supervisor, the recognition of some exams promoted in the advanced academic training programme of the PhD students expelled by S.N.S.P.A. from the academic doctoral studies, who were accepted and registered in the same field within S.N.S.P.A.
- (3) If the PhD student completed totally the Individual studies plan, and reached with the elaboration of the PhD thesis the stage for it to be filed for the presentation in the department, being expelled, and the PhD student attended a new access contest, then, after the registration, with the approval of the PhD supervisor, and of the Manager of the Council for Academic Doctoral Studies, for the PhD student will be recognised the full previous academic record, and it can publicly present the PhD thesis in the same academic year in which the student was accepted and registered but not earlier than six months from the initiation of the respective academic year.

## Chapter VI. ASSESSMENT OF THE ACTIVITY OF PhD STUDENTS AND PhD SUPERVISORS

- VI.1. Assessment of the activity of PhD students
- **Art.41** (1) The assessment of the activity of PhD students is oriented mostly towards the results of the research activity. There are taken into consideration results as publications, participation to conferences, and also their quality estimated through the publications integration in the international flow, quotes, awards, etc. Those results of the research activity are quantified in ECTS credits, according to the Regulation regarding the application of European Credit Transfer and Accumulation System ECTS of S.N.S.P.A.
- (2) The results of research activity of the PhD students from S.N.S.P.A. will be introduced in the existent database at the university level, for the execution of a continuous assessment process. The assessment methodology of the PhD students registered within S.N.S.P.A. will be similar to the assessment methodology of the teaching staff.
- (3) The assessment of the PhD students' activity explicitly follows all the skills the PhD student must develop within the doctoral study programme, according to the Level 8 Qualification from the European Qualification Framework (EQF), and from the National Qualifications Framework (CNC).
- (4) The continuous assessment of the PhD student's activity is carried out by the PhD supervisor and by the PhD student's supervision commission.
- (5) The assessment procedures and criteria will allow:
  - a) the correct measurement of the performances of PhD students, and the reflection of their performance differences;
  - b) analysis of the PhD students' progress in achieving knowledge and skills associated to the targeted professional qualification;
  - c) administrative and academic verification in order to ensure the accuracy of the procedures;
  - d) transparency and information regarding the rigorous and clear criteria for the PhD students' grading;

- e) notification of PhD students about the assessment strategy, methods, assessment criteria, and expected performances.
- VI.2. Assessment of the activity of PhD supervisors
- **Art.42** (1) The assessment of PhD supervisors is carried out periodically, once every 5 years, based on the assessment procedures established through the order of the minister of education, research, youth and sports, at the proposal of C.N.A.T.D.C.U..
- (2) The assessment procedures aim mainly at aspects related to the quality of scientific results of the group led by the PhD supervisor, mostly the impact and the relevance of the scientific activity of this group at international level, and respectively at national level for the fields with Romanian specificity.
- (3) The assessment results are public.

#### Chapter VII. STATUS, RIGHTS AND OBLIGATIONS OF PhD SUPERVISORS

- **Art.43** (1) The capacity of PhD supervisor at S.N.S.P.A. is granted through an order of the resort minister, at the proposal of the National Council of Academic Degrees, Diplomas and Certificates Accreditation, to grant the accreditation certificate, in compliance with the standards and procedures elaborated by the resort ministry.
- (2) The criteria for the granting of the status of PhD supervisors, aims, with priority, at the following:
  - a) results from the research activity with national and international relevance;
  - b) participation to the national and international flow of scientific publications;
  - c) membership at national and international professional associations;
  - d) participation to national and international conferences;
- (3) Compared to the criteria of C.N.A.T.D.C.U., S.N.S.P.A. can have specific criteria for the granting of the capacity of PhD supervisor of S.N.S.P.A. Those criteria are elaborated by CSUD, in consultation with CEAC, and they are presented for the approval of the Senate of S.N.S.P.A.
- (4) The status of PhD supervisor can be required for only one field of academic doctoral studies, which the candidate indicates in the request for the capacity of PhD supervisor, depending on the results from its research activity achieved in the past 5 years.
- **Art. 44** (1) In the contest of the policies for the assurance of academic mobility, S.N.S.P.A. can employ as PhD supervisor, based on an agreement, specialists from abroad, who are entitled to supervise the doctoral study in their origin country, in compliance with the provisions of the Code of Academic Doctoral Studies.
- (2) A member of the teaching and research staff who is entitled to supervise the doctoral studies, and who is a postholder at a high education or research institution, other than IOSUD or component of a IOSUD, can, under the conditions of the art. 166 par. (3) of the Law no. 1/2011, take part of the doctoral school of S.N.S.P.A.
- **Art.45** (1) The activity of PhD supervisors, of the member of the supervision commission, of the members of the commission for doctoral studies, is normed as full time or part time, on basic norm or in regime of hourly rate, according to the legal provisions in force. The respective norms are

highlighted in separate organizational charts or in the organizational charts of the faculties. The organizational charts are enclosed by CSUD, and, for the norms highlighted in the organizational charts of the faculties, by the councils of those faculties, and they are presented for the approval of the Senate of S.N.S.P.A.

- (2) The activity of PhD supervisors is normed in such a manner to be avoided their overstraining, and to be assured the time budget necessary for the proper supervision of PhD students.
- **Art.46** (1) The PhD supervisor exercises its rights but it also undertakes some obligations.
- (2) The rights of the PhD supervisor result from the Law of national education no. 1/2011, subsequently modified and integrated, from the Charta of S.N.S.P.A., from this Regulation, and also from its labour agreement.
- (3) The PhD supervisor has the following obligations:
  - a) to ensure the supervision and coordination of each PhD student;
  - b) to permanently follow up the method in which the PhD student accomplishes the activities from its doctoral studies;
  - c) to select and to propose a list of research subjected adapted to the market demands, to the needs of the knowledge society;
  - d) to ensure and to stimulate the progress of the PhD students in the research they perform;
  - e) to perform the objective and rigorous assessment of each PhD student;
  - f) to support the mobility of PhD students.

#### **Art.47** (1) The status of PhD supervisor is kept depending on:

- a) performance in the scientific research recognised at international level, and active participation within research international community;
- b) performance in the activity to guide the PhD students, and also on the results from the research activity of PhD students.
- (2) The PhD supervisors are subject to periodical assessment, according to the provisions of art. 42 from this regulation.
- (3) The nominated PhD supervisors guide their PhD students within S.N.S.P.A., until the completion by them of the academic doctoral studies, Through the termination of the labour agreement with S.N.S.P.A., it is automatically lost the capacity of PhD supervisors of S.N.S.P.A., and the PhD students are redistributed by CSUD to other PhD supervisors in the field, from S.N.S.P.A., or from outside S.N.S.P.A., with the consent of the receiving institution.
- (4) The withdrawal of the capacity of member of the doctoral school can be made in the following situations:
  - a) loss of accreditation certificate assuring the capacity of PhD supervisor;
  - b) serious violation of the Code of Academic ethics of N.S.P.S.P.A.

## Chapter VIII. STATUS, RIGHTS AND OBLIGATIONS OF PhD STUDENTS

**Art.48** (1) The person declared accepted at the doctoral studies by a commission for the access at S.N.S.P.A., and registered at this university, has, throughout the period of participation to academic doctoral studies from S.N.S.P.A., the quality of PhD student of S.N.S.P.A.

- (2) The capacity of PhD student of S.N.S.P.A. can be proved through a PhD student badge or through the PhD student certificates issued by the Office of Doctoral Studies, upon the PhD student's request. The PhD student badge shall be approved at the beginning of each academic year.
- **Art.49** Throughout the development of academic doctoral studies, the PhD student has the capacity of PhD student, notwithstanding the attended form of doctoral studies. The PhD students registered in the full-time form of education are assimilated, from the point of view of academic rights and obligations, to research assistants or to assistant professors for a limited period of time, and the activity they carry out corresponds to a full time associated to assimilated duties.
- **Art.50** (1) The duration of the academic doctoral studies represents for the full-time PhD students the assimilated period according to the law of pensions for the establishing of the retirement contributions, except from the situation in which the PhD student earns incomes from which he pays, in this period, the contributions for the social securities.
- (2) Throughout the activity, the PhD student benefits from the recognition of the work and specialty seniority, and from free medical care, without the payment of the contributions for the state social securities, for the unemployment insurances, for the health social securities, and for occupational accidents or professional diseases.
- **Art.51** (1) The details of the advanced academic training programme and the details of the scientific research programme are registered in a individual plan of academic doctoral studies, drawn up together with the PhD supervisor and with the PhD student.
- **Art.52** During the cycle of academic doctoral studies, the PhD student has the right:
  - a) to choose the specialty field and the research subject, included in the subjects/projects proposed by the PhD supervisor;
  - b) to participate to the drawing up of the individual plan of academic doctoral studies;
  - c) to be informed about the assessment criteria, about the curriculum of the academic doctoral studies, about the results at the exams and verifications throughout the duration of the academic doctoral studies:
  - d) to benefit from the support, supervision or coordination of the PhD supervisor, and of the supervision commission;
  - e) to participate to department meetings when the subjects relevant for the academic doctoral studies are discussed:
  - f) to be represented in the decision-making for a of the doctoral school, and within the limit stipulated by the Academic Carta, in the Senate of S.N.S.P.A.;
  - g) to benefit from the logistics, documentation centres, libraries, and equipment of S.N.S.P.A. for his training, for the elaboration of the research project, of the scientific reports, and of the PhD thesis:
  - h) to participate to the activities organised by S.N.S.P.A.;
  - i) to participate at the scientific communications sessions organized by the doctoral school within S.N.S.P.A.;
  - j) to take part, with the PhD supervisor's consent, at the research projects performed in S.N.S.P.A.;
  - k) to work, together with researchers' teams in the country and abroad, for the development of applied and fundamental research;

- 1) to perform the doctoral training in a joint doctoral study, based on an agreement concluded and signed by the involved parties;
- m) to benefit from the national and international mobility;
- n) to benefit from institutional support in order to participate to conferences, scientific congresses, national and international seminars in the specialty field in which the student chose the PhD thesis, within the limit of the available funds;
- o) to carry out teaching activities, according to the agreement of doctoral studies, within the limit of 4-6 conventional hours per week. The teaching activities exceeding this level will be remunerated according to the legislation in force, within the scope of the labour code, in compliance with the rights and obligations corresponding to the employee, and with the payment of due contributions, according to the law, at the state social securities, at the unemployment insurances, at the health social securities, and for the occupational accidents and professional diseases;
- p) to get involved in any other professional activity, upon the request of the PhD supervisor or of the doctoral school.

#### **Art.53** The PhD student has the following obligations:

- a) to carry out the activities stipulated in the individual plan of the academic doctoral studies, according to this Regulation;
- b) to present the activity reports to the PhD supervisor and to the supervision commission, whenever the student is required;
- c) to be in a permanent contact with the PhD supervisor of with the supervision commission, and to inform the others regarding the scientific findings or the evolutions in the student's specialty field:
- a) to comply with the regulations and rules in S.N.S.P.A., to have a conduct proper for the capacity of PhD student;
- b) to actively contribute at the relieving and improvement of the framework in which the doctoral study programme is developed;
- c) to promptly answer to all the requests received from the Council for Academic Doctoral Studies;
- d) to be present at the completion of each academic year, usually in the month of July, at the Office of Doctoral Studies to check this academic status;
- e) to update the information regarding its doctoral progress in the database of IOSUD;
- f) to send the PhD thesis in hard copy to the university library for the consultation, at least 20 days before the date established for the public presentation. The PhD work remains a public document at the university library.
- **Art. 54.** (1) The PhD students accepted on positions with tuition fee regime commit themselves to pay the annual tuition fee in the conditions established by the Senate of S.N.S.P.A.
- (2) Together with the deposition at the Office of Doctoral Studies in order to proceed at the organization of the public presentation of the PhD thesis, the PhD student will make the payment proof for the public presentation of the PhD thesis, established by the Senate of S.N.S.P.A. and valid in the academic year corresponding to the public presentation of the PhD thesis.
- **Art.55**(1) Upon the motivated request of the PhD student, the Council for Academic Doctoral Studies can decide to change the PhD supervisor if it was ascertained the violation of the legal or contracting obligations undertaken by the supervisor, or for other reasons regarding the supervisor relation between the PhD supervisor and the PhD student.

- (2) The Council for Academic Doctoral Studies nominates another PhD supervisor in the case stipulated by the par. (1), and also if there is ascertained the unavailability of the PhD supervisor.
- (3) At the nomination of a new PhD supervisor, the Council for Academic Doctoral Studies will take into consideration with priority the necessity for the PhD student to be able to complete the doctoral programme.
- (4) The Council for Academic Doctoral Studies can approve the replacement of a PhD supervisor of a PhD student from that doctoral school with a PhD supervisor from the same doctoral field, also in the following situations:
  - a) upon the request of the Manager of the Council for Academic Doctoral Studies, if the same ascertained the unavailability of the PhD supervisor for a period longer than a year;
  - b) upon the shared request of the PhD student and the PhD supervisor, if, based on some well-grounded reasons, a new PhD supervisor is proposed.
- (5) The change of the PhD supervisor can be approved by the Rector of S.N.S.P.A. only with the keeping of the form of education of academic doctoral studies, and of their financing regime, and also with the written consent of the new PhD supervisor.
- **Art.56** The filing at the Office of Doctoral Studies of any request related to the situation of the PhD student (interruption of the doctoral studies, change of PhD supervisor, change of a subject from the advanced academic training programme, of the title of PhD thesis or of a scientific report) shall be made at least 15 days before the date when that request, if approved, would produce effects.
- **Art.57** The dissensions between the PhD student and the doctoral school, or between the PhD student and the PhD supervisor/supervision commission are settled by the Council for Academic Doctoral Studies.

#### Chapter IX. COMPLETION OF DOCTORAL STUDIES

- **Art.58** (1) The academic doctoral studies are organised in the full-time form of education, on budget-financed positions, with or without scholarship, in part-time form of education, on budget-financed positions, or with a tuition fee.
- (2) The candidates accepted to academic doctoral studies, full-time form of education, can benefit from the doctoral grants with scholarships granted by IOSUD based on a contest, according to the provisions of the agreement of doctoral studies.
- (3) The registration, enrolment, tuition fees, the fees for the presentation of the PhD thesis are approved at the beginning of the doctoral study period by the Senate of S.N.S.P.A.
- (4) The activity of PhD supervisors, of members of the supervision commission, of the members of the commissions for doctoral studies, of PhD students, of researchers, teaching staff, auxiliary teaching staff, and non-teaching staff is normed according to the legal provisions, within the limit of the available funds.
- (5) The organizational charts are drawn up according to the provisions of the article 45 from this regulation, and they include the teaching staff, the researchers, the research assistants and the PhD

student activating within the doctoral school. The doctoral school can employ auxiliary teaching staff, research staff and non-teaching staff.

(6) The organizational charts can also include vacant positions so as the PhD students teaching more classes than the ones registered in the agreement of the doctoral studies shall be paid in hourly rate regime. The payment and the rights of the PhD students are carried out according to the art. 164 from the Law no. 1/2011, without excluding other remuneration forms stipulated by the enforceable legal dispositions.

## Chapter X. STRUCTURE OF ACADEMIC DOCTORAL STUDIES

## X.1 General dispositions

- **Art.59** (1) The multidisciplinary doctoral school organized in S.N.S.P.A., is formed of teaching staff, researchers and PhD students, and it facilitates and guarantees the curricular flexibility of doctoral study programmes.
- (2) The whole activity of the doctoral school is supervised and coordinated by the Council for Academic Doctoral Studies.
- (3) The Council for Academic Doctoral Studies makes all the legal formalities for the accreditation of the doctoral programmes by ARACIS, in compliance with the internal procedures of S.N.S.P.A.
- **Art.60** (1) The purpose of the doctoral school is to ensure, for the training of new generations of researchers, the advancement and extension of the training, attested through the diploma obtained at the completion of the doctoral studies, through teaching activities, assessment, scientific research, valorisation of the scientific research activities.
- (2) The results of the doctoral school reflect at the scientific level of the publications and PhD theses elaborated by the PhD students.
- (3) The doctoral school organized within S.N.S.P.A. makes public its activities on the Internet, through the website <a href="https://www.doctorat.snspa.ro">www.doctorat.snspa.ro</a>, through flyers, posters, leaflets, etc.
- (4) During each academic year, the doctoral school organizes for the PhD students at least of scientific communications session, open for other young researchers too from S.N.S.P.A. or from outside the S.N.S.P.A.
- **Art.61** (1) A new doctoral programme or a new doctoral school can be set up S.N.S.P.A. in compliance with the legal provisions in force.
- (2) Towards those general criteria, S.N.S.P.A. can adopt specific criteria.
- (3) The specific criteria and the proposals for the set-up of a new doctoral programme or of a new doctoral school in S.N.S.P.A. are subject to the approval of the Senate of S.N.S.P.A.
- **Art.62** In the moment when a new doctoral school/doctoral programmes are set up within S.N.S.P.A., this regulation with be integrated with the arrangements regarding the same.

- **Art.63** (1) The curriculum of a doctoral programme must be congruent with the one of the top universities in the field, with certain national interests and needs: the research subjects must be relevant at international level or, if they are of national interest, their methodology and approach must be made at international standards; the results of doctoral study programmes must enter in the international circuit, both from the point of view of the visibility, and also the point of view of the impact.
- (2) The specialty subjects must include the newest scientific results obtained in the field by the PhD supervisors from S.N.S.P.A. and at global level, in order to assure the perspective of the achievement by the PhD students based on their study of some valuable original results.
- (3) The courses, seminars or applicative works specific for a certain subject can be mutually performed for more advanced academic training programmes, being promoted the interdisciplinary training as a method for the stimulation of the scientific research.
- (4) For each subject taught in a doctoral programme, 2-4 physical hours per week are stipulated.
- (5) The thematic content of a subject is established by the subject postholder. During the seminars, the PhD students can present the results of their own researches.
- **Art.64** (1) The teaching activities within the doctoral school are carried out throughout 14 weeks per semester.
- (2) The teaching/research languages within the doctoral school can be: Romanian, a language of national minorities, or other international language, upon the PhD students' request, depending on the provisions of the study agreements, and with the supervisor's consent.
- **Art.65** At the activities of the doctoral school from S.N.S.P.A. can also take part any PhD student registered S.N.S.P.A. or at any other institution organizing academic doctoral studies in the country or abroad. The PhD students came from other institutions outside some agreements concluded with S.N.S.P.A. that should stipulate the gratuity of academic doctoral studies at S.N.S.P.A., must pay for the participation to the activities of doctoral school, the tuition fees established by the Senate of S.N.S.P.A.
- **Art.66** (1) Through the doctoral school, S.N.S.P.A. can offer financial support to PhD students for the execution of research stages in the country and abroad.
- (2) The criteria for the offering of this financial support and the proposals for the effective offering are enclosed by the CSUD, and sent for the approval of the Senate of S.N.S.P.A.
- (3) The PhD students will be informed by the PhD supervisors regarding the research centres where they can execute doctoral training stages, or they can propose research centres or universities within which they want to perform the training stages, for the conclusion of institution agreements.
- **Art.67** In the case of joint doctoral studies with only one PhD supervisor outside the S.N.S.P.A., the PhD students from S.N.S.P.A. can attend the doctoral studies also at the partner institution, according to the agreement concluded between S.N.S.P.A. and that institution. For the subjects promoted at the partner institution, the PhD student must present at the Office of Doctoral Studies, support documents issued by that institution for the accumulation of the achieved ECTS credits.

#### X.2 Advanced academic training programme

- **Art.68** (1) The advanced academic training programme of a PhD student is composed of activities carried out within the doctoral school, in institutionalized study formations (through courses, seminars, laboratories) and activities of individual training.
- (2) The doctoral study programmes of the doctoral school represent continuations, at a higher level, of bachelor and master academic programmes from S.N.S.P.A. It is forbidden for the curriculum of the academic doctoral studies to include knowledge module or courses/course chapters from the bachelor/master academic programmes existent in S.N.S.P.A.
- **Art.69** (1) The credits obtained in a research master programme can be recognised as equivalent with the ones of an advanced training programme when the profile of the research master corresponds to the disciplinary profile of the doctoral field. The equivalation is enclosed by the PhD supervisor and approved by the Council for Academic Doctoral Studies.
- (2) In the specialty training module of the PhD student can also be included courses from the master programmes of S.N.S.P.A., but only for the graduates with a bachelor's degree diploma from a different field than the one in which it is developed the doctoral study programme of the PhD student, in the conditions in which those master courses are focused on advanced research.
- **Art. 70** (1) The participation of a certain PhD student at the training programme based on advanced academic studies and the choice of the study elements within this programme that must be followed by the PhD student are exclusively and independently established by the PhD supervisor of the same. The limitation of this option of the PhD supervisor is prohibited.
- (2) The PhD student can independently opt for the attendance of the courses within advanced academic studies made available by the own doctoral school or by other doctoral schools. The limitation of this own option is prohibited. The participation to the courses is facultative, the student being able to choose a flexible progress, with the accumulation of the necessary transferable credits, according to the Regulation regarding the application of European Credit Transfer and Accumulation System ECTS of S.N.S.P.A.
- **Art.71** The cumulated duration of a training programme based on advanced academic studies cannot exceed 3 months.

#### X.3 Scientific research programme

- **Art.72** (1) The scientific research programme is carried out under the supervision of the PhD supervisor and of the supervision commission, and has as main objective, the elaboration by the PhD student of the PhD thesis.
- (2) The doctoral school will assure and develop an organizational framework proper for the participation of the PhD students in research teams/groups.
- (3) Through the participation of the PhD students in research teams/groups, it is mainly followed:
  - a) participation to scientific research projects with national and international relevance;

- b) obtaining of information regarding the progresses registered within the scientific research performed by the PhD students;
- c) development of transferable skills in the teamwork.
- (4) S.N.S.P.A. will make available for the PhD students the research infrastructure and the logistic and financial resources for the development of research activity within the doctoral study programme.

**Art.73** In the institutional programme of scientific research, the PhD student has the following obligations:

- a) to present the doctoral research project;
- b) to elaborate at least 3 scientific research reports in the thematic field of the PhD thesis, in which the student summarises the intermediary scientific results obtained and valorised in the PhD thesis;
- c) to participate with scientific communications to at least 2 scientific manifestations (3 for the Management field);
- d) to publish at least 2 articles from the thematic field of the PhD thesis in the magazines indexed in international databases (3 for the Management field).
- **Art.74** (1) The doctoral research project is presented during the colloquy organized at the end of the first year in each field of the Doctoral School. The project is completed with a qualifier (unsatisfactory, satisfactory, good, very good, excellent), and it can be presented maximum twice, in the sessions organized at the end of the first year (September-October) or during the second year (June-July, September-October). If the PhD student fails (qualifier "unsatisfactory") twice with the presentation of the doctoral project, the student will be expelled.
- (2) The scientific research reports are presented by the PhD student, within maximum three years from the registration, in the presence of the supervision commission. The presentation of the scientific research reports is organized in three sessions, as follows: the summer session (June-July), the fall session (September-October), respectively the winter session (January-February). The reports will consist of a presentation of the progress of the programme or of the scientific research in front of the supervision commission or of the PhD supervisor, and they have the role to guide, correct and support the scientific progress of the PhD student. The supervision commission will draw up a report regarding each scientific research report, and it will award qualifiers for the measurement of the quality of the scientific research progress of the PhD student. If the PhD student receives the qualifier *unsatisfactory*, the supervision commission can decide the temporary interruption of the doctoral scholarship. The consequence of awarding twice the qualifier *unsatisfactory* is the expulsion from the study programme. The report elaborated by the supervision will be filed at the Office of Doctoral Studies.
- (3) In case of the joint doctoral study with a PhD supervisor from outside the S.N.S.P.A., the presentation of the scientific reports and their assessment are made in compliance with the agreement concluded between the two institution. The registration of the assessments results is made by the Office of Doctoral Studies based on the supportive documents issued by that institution.
- **Art.75** (1) The scientific research programme of the PhD student must be created so as the PhD student can achieve at least 180 ECTS credits in the 3 years of academic doctoral studies.
- (2) The obligations of the PhD student are considered accomplished if:
  - a) presented all the planned scientific reports, and obtained for each of them at least the qualifier Satisfactory;

- b) proves his participation with communications at scientific manifestations presenting for each of those manifestations a certificate from the organisers and/or manifestation official programme;
- c) proves the publication of the articles from the thematic field of the PhD thesis either through excerpts, or through letters from the newsrooms through which the acceptance of the articles' publication is confirmed.
- **Art.76** (1) The PhD student who complied with the obligations within the advanced academic training programme, and of the scientific research programme can put forward the elaborated thesis to a specialised collegial assessment in the research group the PhD supervisor is part of but this does not mean that the phases of the assessment stipulated by the law can be evaded.
- (2) This specialised collegial assessment represents a scientific event of the department, and is made in the presence of the PhD supervisor. The assessment is notified by the PhD supervisor by digital means, at least 10 business days before the date planned for the presentation.
- (3) After the analysis of the PhD thesis, the department manager presents for the vote the proposal for the recommendation of the presentation of the PhD thesis. The proposal is adopted with simple majority of votes of the present members. If the presentation of the PhD thesis is not recommended, the thesis must be reworked within a deadline proposed by the PhD supervisor, and afterwards presented to a new specialised collegial assessment.
- (4) After each specialised collegial assessment, the PhD supervisor draws up a report in which the results of the vote are registered. The report, registered by the department manager, will be afterwards deposited at the Office of Doctoral Studies.
- **Art.77** The title of the PhD thesis can be modified based on the free choice of the PhD student before the completion of the thesis for the public presentation. The change of the title of the PhD thesis does not suppose the change of the subject of the PhD thesis.
- **Art.78** (1) The modalities for the prevention of the fraud in the scientific research, including the plagiarism, are carried out through the information of the PhD student regarding the scientific, professional and academic ethics, through the verification of the compliance with the deontological provisions during the execution of the doctoral research, and also in the drawing up and completion of the PhD thesis through the software against the plagiarism acquired by S.N.S.P.A.
- (2) The thesis that will be presented in the supervision commission are sent to the Doctoral School Office of Doctoral Studies at least 5 days before the date established for the presentation.
- (3) The secretary office of the Doctoral School introduces the thesis in the system against the plagiarism and send the generated report to the PhD supervisor for the verification.
- (4) Upon the verification of the report generated by the system against the plagiarism, the PhD supervisor deposits in the PhD student's file the resolution regarding the analysis of the similitudes identified by the system.
- (5) IOSUD-S.N.S.P.A. sanctions the misconducts from the scientific, professional and academic ethics norms, according to the ethics code and professional deontology of the institution. In case of academic frauds, of some violations of the academic ethics, or of some violations of the rules of

conduct in the scientific research, including the plagiarism, the PhD student and/or the PhD supervisor are responsible according to the legal requirements.

## **Chapter XI. PhD THESIS**

- **Art.79** (1) The completion of academic doctoral studies, notwithstanding the form of education, is carried out through the public presentation of the PhD thesis.
- (2) In the scientific doctoral study, the PhD thesis must be the results of a scientific research, and to include original results in the scientific knowledge field.
- (3) The PhD student is the author of the thesis, and assumes the accuracy of the data and information presented in the thesis, and also of the opinions and demonstrations expressed in the thesis.
- (4) For eventual frauds or situations of plagiarism, the PhD student is responsible under the conditions of the criminal law, and of the law regarding the copyright, enforceable on the date when the crime is committed.
- (5) The PhD supervisor is responsible together with the author of the thesis for the compliance with the quality and professional ethics standard, including for the assurance of the content originality.
- **Art.80** (1) CSUD elaborates the criteria and indices for the assessment of the PhD theses, according to the European Qualifications Framework, and according to the skills associated with the qualification registered in RNCIS according to the specificity of the fields in which the academic doctoral studies are organised and developed.
- (2) The minimum criteria elaborated according to the par. (1) are approved by the Senate of S.N.S.P.A.
- (3) The quality assessment criteria of a PhD thesis are related to the research results presented in the thesis, especially to the ones obtained within the doctoral study programme. There are also taken into consideration the results accepted for the publication.
- **Art.81** (1) The PhD thesis must prove the advanced scientific knowledge of the approached subject, must include elements of originality in the development and solution of the subject, and also the modalities of their scientific validation.
- (2) At least part of the original results included in the thesis must have been achieved in the period between the registration date at the academic doctoral studies and the date of the public presentation of the thesis, fact that can be proved through the articles published in magazines, included in the international databases, or through remarkable professional achievement mentioned in proper official documents.
- (3) In the absence of the minimum number of publications at the quality standards mentioned in this regulation, the thesis is not accepted for the public presentation and for the awarding of the title of doctor.
- **Art. 82** The public presentation can occur only after the completion of the following phases:

- a) the PhD student hands over the thesis in a digital format and, if applicable, in hard copy to the secretary office of the doctoral school; in this regard, IOSUD issues a supporting document registered in the institution record, document part of the doctoral file;
- b) the doctoral school executes the analysis of similitudes by using a software recognised by C.N.A.T.D.C.U. or a software developed at national level regarding the detection of similitudes; the similitudes reports are included in the doctoral file; the verification duration cannot exceed 30 days from the date when the PhD thesis is deposited at IOSUD;
- c) the PhD thesis is presented in the supervision commission within the doctoral school; after this prior presentation, that can be public, the PhD supervisor and the supervision commission decide on the official filing of the thesis and on the organization of the public presentation; the acceptance report of the PhD supervisor and the consent of the member of the supervision commission are included in the doctoral file; the duration of the thesis assessment process in the supervision and control commission is of 30 days;
- d) the PhD thesis is officially file at the secretary office of the doctoral school in hard copy, and in digital format, together with the summary of the PhD thesis and with the CV of the PhD student; the secretary office of the doctoral school certifies the compliance of the PhD student with all the obligations from the doctoral study programme, and the compliance with the procedures presented at letter a), b), c) of this article;
- e) the request for the establishing of the public presentation date of the PhD thesis, approved by the PhD supervisor and by the president of the presentation commission, is file at the secretary office of the doctoral school, at least 20 calendar days before the date proposed for the presentation;
- f) the doctoral school draws up and posts the announcement for the public presentation at least 20 calendar days before the date proposed for the presentation. This announcement will mandatorily include the date, location and time of the presentation, the PhD supervisor or supervisors, and also the place where the full text of the thesis can be consulted in hard copy. The announcement of the public presentation, the summary of the thesis in digital format, the CV of the PhD student, the CV of the members of the commission for the public presentation of the PhD thesis, or the links to the same, are posted on the website IOSUD S.N.S.P.A.

#### **Art.83** (1) The doctoral file will contain the following documents:

- a) scanned copy of the identity card of the PhD student;
- b) scanned copy of the birth certificate of the PhD student;
- c) scanned copy of the marriage certificate of the PhD student, if applicable;
- d) CV of the PhD student;
- e) Decision for the access to doctoral study programme;
- f) Agreement of academic doctoral studies and addenda, as the case may be;
- g) The request signed by the PhD student, registered at the doctoral school, regarding the initiation of the assessment procedures of the thesis by the supervision commission, approved by the PhD supervisor, for the prior presentation of the PhD thesis;
- h) Scanned copy of the statement signed by the PhD student and by the PhD supervisor regarding the undertaking of the liability regarding the assurance of the originality of the PhD thesis content, of the compliance with the quality and professional ethics standards, and also of the accuracy of data, information, opinions, presented demonstrations. Through this statement, the PhD student certifies: (i) that the PhD thesis is the results of own activities and achievements, that the main information sources are indicated in the thesis, and that the parts taken over rom those sources are properly signed; (ii) otherwise, the PhD student suffers the consequences according to the legislation in force regarding the false statements;

- i) At least one similitudes report including the resolution of the PhD supervisor, and, if applicable, of other people who analysed the report;
- j) Report drawn up by the doctoral school, before the public presentation of the PhD thesis, and documents attesting the attendance of the study programme;
- k) Proposal regarding the components of the commission for the public presentation of the PhD thesis formulated by the PhD supervisor and approved by CSUD;
- 1) CVs of the members of the commission for the public presentation;
- m) Decision for the nomination of the commission for the public presentation;
- n) Request for the establishing of public presentation date of the PhD thesis, approved by the PhD supervisor and by the president of the of the commission for the public presentation, deposited at the secretary office of the doctoral school at least 20 calendar days before the date proposed for the presentation;
- o) Announcement for the public presentation, drawn up by the doctoral school at least 20 calendar days before the date proposed for the presentation;
- p) Certificate regarding the filing of a hard copy of the PhD thesis at the university library;
- q) Reports of official reviewers, members of the commission for doctoral studies, signed by them, in the form a scanned copies or documents digitally signed;
- r) Acceptance report from the PhD supervisor;
- s) Approval of the supervision commission for the filing of the PhD thesis at the secretary office of the doctoral school;
- t) Report of the public presentation of the PhD thesis and the appendix with questions and answers, including the proposal for the awarding of the title of doctor signed by all the members of the commission for doctoral studies, in the form a scanned copies or documents digitally signed, or, if applicable, the full audio registration of the public presentation of the PhD thesis that must be accompanied by a notice certifying the registration duration and its proper storage (optional);
- u) summary of the PhD thesis;
- v) PhD thesis and its appendices, in digital format;
- w) list of publications resulted upon the scientific research from the doctoral study programme, published/accepted for publication, if applicable, and scanned copies of those publications;
- x) statement of the PhD student regarding his options regarding the publication of the PhD thesis;
- y) request of IOSUD for entering the assessment procedure at the level of C.N.A.T.D.C.U. of the PhD thesis, registered at the ministry.
- (2) The personal data, except from the name, will not be publicly visible.
- (3) The onset of the organization of the public presentation of the PhD thesis shall be enclosed by CSUD, and approved by the Rector of S.N.S.P.A.
- (4) The PhD thesis and its appendices, and also the copies of the documents from the doctoral file, digitally signed in PDF format, with legal value, are uploaded on the national digital platform.
- **Art.84** (1) For the presentation, the PhD thesis is assessed by a commission of specialists (hereinafter referred to as the Commission for doctoral studies), proposed by the PhD supervisor and approved by CSUD. The President of the commission for doctoral studies represents the accredited doctoral programme the PhD student is part of.
- (2) The commission for the doctoral studies is composed of:

- a) president of the commission, as the representative of the Rector of S.N.S.P.A., usually a member of the Senate or a PhD supervisor from S.N.S.P.A., in that field;
- b) PhD supervisor/s;
- c) At least three official reviewers, from the country or abroad, specialist in the field in which the PhD thesis was elaborated, and of which at least 2 develop their activity outside IOSUD S.N.S.P.A.
- (3) The members of the commission for the doctoral studies have the title of doctor, and have at least the teaching position of university lecturer or scientific researchers 2<sup>nd</sup> level, or they have the capacity of PhD supervisor, in the country or abroad.
- (4) In case of the joint doctoral study with a PhD supervisor outside S.N.S.P.A., the commission for the doctoral studies will also include representative of both institutions.
- (5) If, after the approval of the commission for the doctoral studies, the president of the commission or one of the official reviewers becomes unavailable, CSUD can approve, at the proposal of the PhD supervisor, the replacement of that member of the commission for doctoral studies.
- **Art. 85** (1) The official reviewers have the obligation to file at the Office of Doctoral Studies, within maximum 30 days from the reception of the PhD thesis, an assessment report of the PhD thesis.
- (2) The organization of the public presentation of the PhD thesis can be initiated by the Office of Doctoral Studies only after the reception of all the reports of the members of the commission for doctoral studies.
- **Art.86** (1) The PhD thesis is orally presented in a public session in front of the commission for doctoral studies.
- (2) The public presentation of the PhD thesis can be organized also in online system, according to the law.
- (3) At least 20 days before the presentation date of the PhD thesis, established by the president of the commission for doctoral studies, the Office of Doctoral Studies has the following obligations:
  - a) to post the announcement regarding the public presentation of the PhD thesis, mentioning the date, the place and the time of the presentation, the PhD supervisor or supervisors, and also the place under the full text of the thesis can be consulted in hard copy;
  - b) to publish this announcement together with the two summaries of the PhD thesis (drawn up in Romanian, and respectively, in an international language, in digital format, the CV of the PhD student, the CVs of the members of the commission for the public presentation of the PhD thesis or the links to those CVs on the website of the Doctoral School of S.N.S.P.A.; the summary of the PhD thesis can be consulted publicly after the issue of the disposition for the nomination of the presentation commission.
- **Art.87** (1) The public presentation of the PhD thesis can take place if no more than one official reviewer is missing, the presence of the president of the commission and of the PhD supervisor/s is mandatory.
- (2) A reviewer from the commission, who cannot participate to the public presentation of the PhD thesis, must send to the Office of Doctoral Studies before the session for the presentation of the thesis,

a written statement in which it must be notified the reason why the member cannot attend the public presentation of the PhD thesis, the vote regarding the awarding of the title of doctor, and the proposed qualifier, and also the assessment report of the PhD thesis.

**Art.88** (1) The meeting for the public presentation of the PhD thesis is led by the president of the commission for doctoral studies, and it is developed as follows:

- a) presentation of the thesis content by the PhD student;
- b) presentation of the reports by the PhD supervisor/s or by the official reviewers;
- c) presentation by the president of the commission for doctoral studies of the opinion sent in writing to the present of the commission for doctoral studies before the public presentation, by specialists who consulted the thesis or its summary;
- d) scientific and professional debate of the PhD thesis to which the PhD student, the members of the commission for doctoral studies and the specialists present in the room take part.
- (2) The debate of the PhD thesis must represent the central point of the procedure for the presentation of the PhD thesis, having as purpose the highlight of the scientific knowledge in the field of approached subject, and of the originality elements included in the thesis. On this purpose, the members of the commission will ask the PhD student some questions meant to highlight the abovementioned aspects.
- (3) The report of the public presentation of the PhD thesis stipulated by the art. 83 par. 1 letter s) also includes:
  - a) the qualifier proposed to be granted;
  - b) the mention of the specialty commission within C.N.A.T.D.C.U. to which the field of the academic doctoral studies is attributed, in which the study programme was graduated;
  - c) the date of the public presentation of the PhD thesis.

**Art.89** (1) Upon the public presentation, the commission for the doctoral studies deliberates on the qualifier that will be granted to the PhD thesis.

- (2) Each member of the commission grants a qualifier, taking into consideration the criteria C.N.A.T.D.C.U. for the assessment of the PhD thesis. The qualifiers Excellent, Very Good, Good, Satisfactory or Unsatisfactory can be granted. The Qualifier that reached the absolute majority of votes expressed by the members of the commission becomes the resolution of the entire commission. IN the meeting report of the presentation of the PhD thesis is registered not only the qualifier established by the commission upon voting, by the qualifier granted by each member of the commission for doctoral studies.
- (3) If the thesis received one from the qualifiers Excellent, Very Good, Good or Satisfactory, the commission proposes the awarding of the PhD student of the title of doctor and send, through the Council for Academic Doctoral Studies, this proposal for the validation of the C.N.A.T.D.C.U.
- (4) If the qualifier Unsatisfactory is granted, the commission for doctoral study will mention the content elements of the PhD thesis that are about to be reworked or completed, and the thesis will be presented again publicly in front of the same commission within the deadline established by the commission. If, after the second presentation of the PhD thesis in front of the same commission, the qualifier Unsatisfactory is granted again, the thesis is considered rejected and the PhD student is expelled.

- (5) The resolutions of the Commission for Doctoral Study are communicated to the auditorium from the public meeting of the presentation of the PhD thesis by the president of the Commission for Doctoral Study.
- **Art.90** (1) The awarding of the title of doctor is made through the order of the minister of national education and scientific research, after the validation of the PhD thesis by C.N.A.T.D.C.U.
- (2) For the purposes of the assessment of the PhD thesis by C.N.A.T.D.C.U., the file of each PhD student in digital format, is uploaded by IOSUD-S.N.S.P.A. on the national digital platform, within maximum 30 days from the date when the PhD thesis is publicly presented.
- (3) The file uploaded on the national platform is digitally signed by the legal representative of IOSUD-SNSPA or by a person authorised by the same.
- (4) If C.N.A.T.D.C.U. does not validate the PhD thesis, S.N.S.P.A. receives from the resort ministry, the invalidation decision accompanied by the assessment summary report. The PhD work can be resent to the National Council of Academic Degrees, Diplomas and Certificates Accreditation within one year from the date of the first invalidation. If the PhD work is invalidated twice, the title of doctor will not be awarded, and the PhD student will be expelled.
- (5) The order for the award, respectively for the lack of award of the title of doctor, and also the decision for the invalidation of the thesis can be appealed within 15 business days from the notification date to IOSUD–S.N.S.P.A. The appeal is registered at MEC, that sends it to UEFISCDI for the development of the settlement proceedings.
- (6) The diploma awarded after the graduation of a doctoral study programme is called "diploma of doctor". In the diploma certifying the achievement and the possession of a title of doctor, it is expressly mentioned the disciplinary or interdisciplinary field of the doctoral study for the scientific doctoral study.
- (7) The diploma of doctor is issued by S.N.S.P.A., within maximum 60 days from the reception date of the order issued by MEC.
- **Art. 91** (1) After obtaining the title of doctor, if the PhD student does not opt for the separate publication of the thesis or some chapters from it, the digital form of the thesis is made public, and it will be freely accessed on the national platform after the issuance of the disposition for the awarding of the title of doctor; the thesis will receive a license for the copyright protection.
- (2) If the PhD student opts for the separate publication of the thesis or some chapters from it, he receives a deadline of maximum 24 months for the execution of a publication. After the expiry of the deadline, if IOSUD- S.N.S.P.A. does not receive a notification regarding the separate publication of the thesis, the document in the digital format will be freely accessed on the national platform with the attribution of a license for the copyright protection.
- (3) After the publication of the thesis or some chapters from it, the author has the obligation to notify IOSUD about this fact, and to send the bibliographic indication and a link for the publication, that will be made public on the national platform.

- (4) After the awarding of the title of doctor, within 30 days, IOSUD –S.N.S.P.A. sends a hard copy of the PhD thesis to the Romanian National Library.
- (5) The doctoral file is archived by IOSUD –S.N.S.P.A. with permanent regime.
- **Art. 92** (1) If C.N.A.T.D.C.U. is notified about the incompliance with the quality or professional ethics standards, and also about the plagiarism existence, in the PhD thesis, IOSUD-S.N.S.P.A. notifies C.N.A.T.D.C.U. the point of view regarding the formulated compliant within maximum 30 days from the reception of the request sent by MEC.
- (2) IOSUD-S.N.S.P.A. analyses the PhD thesis existent in the university library in relation to the complaint, according to the internal procedure, and officially informs the holder of the diploma of doctor about the existence of a complaint regarding the PhD thesis elaborated by the same.
- (3) The point of view of IOSUD-S.N.S.P.A. will include:
  - a) proposal to maintain/withdraw the title of doctor, signed by the rector;
  - b) the documents and internal regulations, enforceable at the registration date at the doctoral studies of the author of the PhD thesis, and applicable at the date of the public presentation, that substantiated this proposal, and especially the resolution of the Commission of academic ethics, legally approved;
  - c) a notification regarding the other internal measures established for the situation in which the violation of the academic ethics is ascertained.
- (4) IOSUD-S.N.S.P.A. digitally sends, together with the point of view, the following:
  - a) a "certified true copy" of the concerned PhD thesis, existent in the university library;
  - b) information regarding the author of the PhD thesis, respectively: personal number (CNP), the registration date at the doctoral study, date of public presentation of PhD thesis, copy of the order of minister through which the title of doctor was awarded, series and number of the diploma of doctor, and the number and date of issue of the diploma, surname and name of the PhD supervisor;
  - c) copies of the documentation corresponding to the public presentation of the PhD thesis, respectively: the commission nomination decision, the reports drawn up by the members of the commission, the report for the public presentation;
  - d) the postal addresses of the author of the PhD thesis, if possible.
- **Art. 93** (1) The Decision of the General Council of C.N.A.T.D.C.U. and its motivation regarding the compliance with the quality and professional ethics standards, including the existence of the plagiarism can be appealed by the complaint authors, by IOSUD-S.N.S.P.A., and by the author of the PhD thesis. The appeal regarding the procedure can be formulated within 10 days from the reception of the Decision of C.N.A.T.D.C.U., it shall be transmitted to the General Council of C.N.A.T.D.C.U., and it shall be registered at UEFISCDI.
- (2) If C.N.A.T.D.C.U. establishes that the quality and professional standards are not complied with, including as far as it concerns the plagiarism, the resort ministry, at the proposal of the President of C.N.A.T.D.C.U. can take one or more of the following measures:
  - a) withdrawal of the quality of PhD supervisor;
  - b) withdrawal of the title of doctor;
  - c) withdrawal of the accreditation of the doctoral school.

- (3) The diploma of doctor ceases to produce legal effects from the moment when the disposition for the withdrawal of the title is notified.
- (4) All the issued decisions and dispositions are published on the IOSUD-S.N.S.P.A. website.

#### Chapter XII. INSTITUTIONAL MOBILITY AND TRANSFERABLE CREDITS

**Art.94** (1) S.N.S.P.A. for the skills update, ad for the permanent connection to the European Research Area. The mobility assurance in the cooperation agreements signed in the doctoral study programmes, of the structural programmes for the human resources training, and also of the joint doctorate, consists of:

- a) exchanges between the professors and the students;
- b) organisation of consortia and networks;
- c) awarding of titles and/or shared certificates to more UOD or IOSUD, in the country or abroad, attesting the achieved skills;
- d) transnational exchanges;
- e) recognition at the international level of the doctoral study;
- f) supporting the participation of PhD students and of teaching staff for the participation to conferences, workshops and other prestigious international scientific events.
- (2) The Council for Academic Doctoral Studies has the mission to increase the mobility of PhD students and of teaching staff within the Doctoral School of S.N.S.P.A. Depending on the needs they have, the PhD students and the teaching staff within the Doctoral School of S.N.S.P.A. can come with proposal regarding the conclusion of international cooperation agreements with different prestigious institutions relevant for the research in doctoral fields with S.N.S.P.A. The proposals for the mobility improvement within the Doctoral School will be presented to the Council for Academic Doctoral Studies, that will make all the formalities for the conclusion of new cooperation agreements.
- (3) The curriculum of doctoral study programme includes minimum 180 credits. The credits achieved within the doctoral study programme are registered in documents as the transcript of records, in order to allow, if applicable, the transfer in other educational institution, or their use at the re-registration.

# Chapter XIII. INTERNAL AND EXTERNAL ASSESSMENT AND MONITORING OF DOCTORAL SCHOOL

**Art. 95** The quality of doctoral study programmes, of the performance of PhD students and PhD supervisors are periodically subject to an internal and external assessment process that will include:

- a) reference terms of internal and external assessment process;
- b) nomination of an institution organ (commission/council) of experts who will perform the assessment;
- c) criteria and standards of internal/external assessment of each programme;
- d) periodical monitoring and review of doctoral study programmes;
- e) publication of assessment reports with recommendations;
- f) follow up of the application of adopted decisions and recommendations.

**Art.96** (1) The quality internal assessment of a doctoral study programme is made periodically by the CEAC based on the self-assessment report drawn up by the Council for Academic Doctoral Studies, for each doctoral field separately.

- (2) The procedures for the quality internal assessment of the doctoral study programmes are elaborated based on minimal criteria established by the Code and by this Regulation, they are endorsed by the Council for Academic Doctoral Studies, and approved by the Senate of S.N.S.P.A.
- (3) The procedures for the quality internal assessment of the doctoral study programmes will follow:
  - a) the mission and the objectives of doctoral study programmes;
  - b) the content and curriculum of doctoral study programme;
  - c) the compatibility of doctoral study programmes with the description of the qualification registered in RNCIS;
  - d) the results of the research performed by the PhD students and by the PhD supervisors, evidenced by publications, patents, participation to scientific manifestations;
  - e) the presentation on the Internet of the results of research activity of PhD students;
  - f) the cooperation relationships with other institutions;
  - g) specific requirements of different teaching methods and development forms;
  - h) the existence of documentation, learning and research means, and also of the logistics the PhD students benefit from:
  - i) the staff involved in the performance of study programmes;
  - j) the modalities to assess the knowledge, and to test the skills of the PhD students, and also the progress follow up during the training;
  - k) the assessment by the PhD students, obtained through questionnaires subject to elaboration confidentiality, and statistically processed;
  - 1) the involvement level of the PhD students for the quality assurance of study programmes.
- **Art.97** (1) The external assessment of doctoral programmes within IOSUD-S.N.S.P.A. is made by the A.R.A.C.I.S., based on the CNCS reports regarding the research quality, and based on the C.N.A.T.D.C.U. reports regarding the human resources quality.
- (2) The doctoral programmes that, after a period of maximum 5 years from the entry in force of the Code for the Academic Doctoral Studies, do not pass through an external assessment process, enters in a liquidation phase, in which new PhD students cannot be registered any more. The liquidation phase cannot last more than one academic year.
- (3) The competence for the liquidation of the doctoral study programme in the situation stipulated at par. 2 belongs to S.N.S.P.A., being obliged to inform ARACIS and CNCS about the process and the result of the liquidation, and it shall proceed at the removal of the respective qualification from the RNCIS.
- **Art.98** The students at the master academic studies who obtained in the first year of master, remarkable professional results, and who intend to follow academic doctoral studies within S.N.S.P.A. after the master graduation, can require in the second year of master to be guided by the PhD supervisors from S.N.S.P.A., and they can elaborate a dissertation that could prepare them for the researches necessary for the elaboration of the PhD thesis.
- **Art.99** (1) The doctoral study is performed based on a academic doctoral studies agreement signed, through the Council for Academic doctoral studies, between the PhD student, the PhD supervisor and the S.N.S.P.A., agreement in which the rights and obligations corresponding to the PhD student, PhD supervisors, and also to S.N.S.P.A., through the doctoral school, are established, so as the quality assurance requirements are achieved.

- (2) The academic doctoral studies agreement is signed by the PhD student, PhD supervisor, Manager of the Council for Academic doctoral studies, legal advisor, economic manager of the University, and the rector of S.N.S.P.A.
- (3) The academic doctoral studies agreement is signed after the completion of individual plan of academic doctoral studies.
- (4) The framework agreement form is elaborated by the Council for Academic doctoral studies in compliance with the provisions of the Code, and of this Regulation.

## Chapter XIV. STIMULATING THE EMPLOYMENT OF GRADUATES OF DOCTORAL STUDY PROGRAMMES

**Art.100** (1) By their structure, the doctoral study programmes from S.N.S.P.A. have the following purpose:

- a) achievement of scientific research skills, and of other content and transversal skills;
- b) assurance of career opportunities for the graduates of academic doctoral studies.
- (2) The Council for Academic doctoral studies must follow a marketing policy for academic doctoral studies that shall correspond to the global competition, with the education and professionalization options much diversified from a geographic and subject point of view.
- **Art. 101** (1) S.N.S.P.A. monitors the employment level of the graduates of academic doctoral studies, and develops strategies for the improvement of their professional insertion on the labour market.
- (2) The Office of Academic doctoral studies draws up and updates the Registry Alumni with own graduates, it assures the monitoring of their careers and professional activities, contributing this way at their integration within the society.

**Art.102** In order to stimulate the employment of the graduates of academic doctoral studies, the doctoral academy will mainly follow up:

- a) adaptation of doctoral education to the demands of knowledge society, based on the obligation of permanent education;
- b) performance of doctoral education from the perspective of focus on the student and on research-based education;
- c) alignment of academic doctoral studies with the trends from the modern research;
- d) recognition of crucial role of supervision and evaluation;
- e) promotion of innovative instruments in order to meet the interdisciplinarity need, and to offer transferable skills;
- f) increase of international, interdisciplinary and cross-sectoral mobility;
- g) proper and sustainable financing.

#### **Chapter XV. FINAL DISPOSITIONS**

**Art.103**(1) This regulation shall be supplemented by the provisions of the Law of National Education no. 1/2011, subsequently modified and integrated, and of the Code of Academic doctoral studies adopted through the HG (Government Resolution) 681/2011, subsequently modified and integrated, and by other applicable legal provisions, enforceable during the doctoral programmes of PhD students.

This regulation was approved through the Senate Resolution no. 72/30.09.2020.